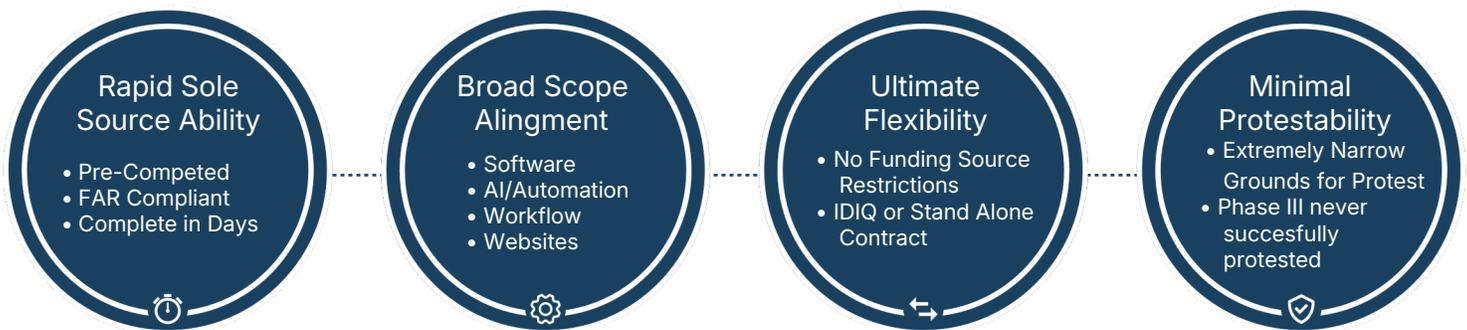


## What is SBIR?

The **Small Business Innovation Research (SBIR)** program is a congressionally authorized initiative that provides a direct mechanism for transitioning government-funded research and prototype capabilities into production and operational deployment. SBIR Phase III allows agencies to acquire solutions that derive from, extend, or complete prior SBIR-funded work using non-SBIR funds.



## Accessing MetaPhase Through SBIR Phase III Sole-Source Award

MetaPhase currently holds an active SBIR award focused on web software development, intelligent automation, and digital workflows. This innovation directly informs our ChallengeAI suite of AI accelerators and applied AI delivery frameworks.

- ✓ Reduced PALT With Streamlined Procurement Approach
- ✓ Leverage Government Funded Innovation for Your Mission
- ✓ Support Small Business Innovation
- ✓ Comply with President's Management Agenda to Leverage Tech and Streamline Procurement

### Learn More

Our team of former federal Contracting Officers with experience across civilian and national security agencies guide customers through the SBIR Phase III award process.

For next steps, contact Brett McLaren [bmclaren@metaphase.tech](mailto:bmclaren@metaphase.tech) and Brian Funk at [bfunk@metaphase.tech](mailto:bfunk@metaphase.tech).

# Frequently Asked Questions

## ***What is the SBIR program and how does it work?***

The Small Business Innovation Research (SBIR) program is a highly competitive multi-phase program that encourages domestic small businesses to conduct Federal Research and Development. The goal is to extend or derive from this R&D to provide real-world support to Federal agencies in the third phase (called "Commercialization").

## ***Does MetaPhase hold a SBIR that is able to receive Phase III awards?***

Yes, MetaPhase owns SBIR 40816: A General Framework for Incorporating Intelligent Agents into User Interfaces. A broad award aimed at innovation associated with software automation. Direct Phase III sole source awards or IDIQs can be issued from this SBIR.

## ***Who can award a Phase III contract?***

Any federal agency can award a Phase III contract. Agencies did NOT need to fund the original Phase I/II work to make a SBIR Phase III award.

## ***Are Phase III sole source awards permissible?***

Yes. Competition for Phase I and II satisfy all statutory competition requirements for Phase III.

## ***Is a Justification and Approval (J&A) required for Phase III?***

No. Under 15 U.S.C. 638(r)(4), agencies are not required to conduct another competition or provide further justification beyond stating the work is a Phase III award.

## ***What must the government document for a Phase III award?***

The contract file should document how the work "derives from, extends, or completes" efforts from a Phase I/II SBIR. MetaPhase will provide a detailed white paper outlining how your requirements derive from, complete, or extend the SBIR innovation research.

## ***Is a formal RFP or BAA required to issue a Phase III?***

No. A Phase III can result from an unsolicited proposal, a simplified acquisition, or a non-competitive directed award.

## ***Can a Phase III be issued as an IDIQ?***

Yes. In fact, Indefinite Delivery/Indefinite Quantity (IDIQ) contracts are ideal for SBIR Phase III awards as a mechanism to access innovative technologies and allow individual task orders for specific requirements.

## ***What type of funding is used for Phase III?***

Phase III is funded by non-SBIR sources from the procuring Agency.

## ***Are there limits on the dollar value of a Phase III?***

No. There are no limits on the dollar value, contract size, or the number of Phase III awards a firm can receive.

## ***Is there a time limit between Phase I/II and Phase III?***

No. There is no statutory limit on the time that may elapse between a Phase I/II and a Phase III award.

## ***Can a Phase III include options?***

Yes, Phase III contracts can include options for additional work or quantities. An IDIQ may also be issued for recurring or evolving needs such that individual task orders can be issued directly to the awarded vendor.